

This is with reference to the mandatory requirements CDSL/OPS/DP/SYSTM/2021/33 Jan 25th, 2021 and revised communique while executing off market transactions.

## UPDATED LIST OF REASON CODES FOR OFF MARKET/INTER-DEPOSITORY TRANSACTION

Slip No:

Off Market Annexure

DP ID	1	2	0	2	3	0	0	Client ID									
Code	Description																Tick Mark
1	Gift																
2	For Off Market Sale/Purchase																
5	Transfer to own account(s)																
10	Implementation of Govt /Regulatory Direction/Orders																
11	Erroneous Transfer pertaining to Client Securities																
13	Open offer for Acquisition																
15	Refund of securities by IEPF Authority																
16	Donation																
17	For Buy-Back																
18	Margin returned by stock brokers/PCM*																
19	ESOP/Transfer to Employee																
24	Redemption of Mutual Fund units(To be used for transfer of Mutual Fund Units from client BO account to AMC's BO account on account of redemption.																
25	Conversion of Depository Receipt(DR) to underlying Securities and vice versa (To be used for transfer of underlying securities for issuance/cancellation o f Depository Receipts.																
26	Transposition (To be used for transfer of securities between joint accounts of same holders (order of holders different in both accounts).																
27	Merger/Demerger of Corporate entity (For these types of transfers supporting documents need to be provided by Corporate Entities to DPs.																
28	Dissolution/ Restructuring/ Winding up of Partnership firm/Trust (For these types of transfers, supporting documents need to be provided by transferor/ account holder(s) to DPs																
29	Trust to Beneficiaries/On HUF dissolution to Karta & Coparceners(For these types of transfers, supporting documents/ Deeds need to be provided by transferor/ account holder(s) to DPs																
30	Transfer between Minor Account and Guardian Account																
31	<b>Transfer between specified family members:</b> (1. <input type="radio"/> Spouse) 2. <input type="radio"/> (Father (including step-father) 3. <input type="radio"/> (Mother (including stepmother) 4. <input type="radio"/> (Son (including step-son) 5. <input type="radio"/> (Son's wife) 6. <input type="radio"/> (Daughter) 7. <input type="radio"/> (Daughter's husband), 8. <input type="radio"/> Brother (including stepbrother) 9. <input type="radio"/> Sister (including step-sister) 10. <input type="radio"/> (Members of same HUF)																
32	Transfer between Partner and Firm or Director and Company																
35	Transfer from Nominee/ Surviving holder to the beneficiary																
36	Transfer of securities from /to account of PMS provider to /from the account of its various clients																
37	Margin to Custodian																
38	Margin returned by Custodian																
39	Change of nominee shareholder appointed under section 187(1) of Companies Act, 2013.																
40	Off-Market Swap																
41	Delisting - Exit offer																
42	Deposit of securities with Escrow Agent / Account and its return																
	a) Unclaimed Securities Suspense Escrow Account (Sub Status code – 64)																
	b) Escrow Corporate Account (Sub status code – 154) / Escrow Bank Account (Sub status code – 155)																
	c) Aggregate Escrow Demat Account – AIF (Sub status code – 253)																
43	Transfer of constitute shares for creation of ETF unit.																
44	Transfer From/ To/ for Tri-Party Repo collateral																

**Note: In case of off market Sale /Purchase (Code 2) then below mentioned financial details are mandatory of counter party.**

### Cheque Payment Details

Transferee Name			
Bank A/C No			
Bank Name and Branch Name			
Cheque No		Date of Issue	

### Electronic Payment Details (Transferee Name)

Bank A/C No			
Bank Name and Branch Name			
Reference No		Date of Transfer	

### Cash/Consideration

Amount /Consideration			
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First /Sole Holder	Second Holder	Third Holder